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# Seismic Performance of Special Structural Walls Using Overlapping Hoops Instead of Closed Hoops

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## Abstract

In this study repeated cyclic loading tests were carried out on seven shear wall specimens, which were fabricated in a real scale. The purpose of these experiments is to investigate the difference on the seismic performance of the wall depending on two methods of confining longitudinal bars at the wall boundaries and propose relaxed bar arrangement details that could be used in a moderate to high seismicity zone. The results showed that the seismic performance of special shear walls using overlapping loops as transverse reinforcement of the boundary element was similar to that of special shear walls using a closed hoop in strength, stiffness, and energy dissipation. This means the former can serve as an alternative for rational seismic design for moderate to high seismicity zones using overlapping hoops.

**Keywords:** special structural wall, cyclic loading test, seismic performance, flexural failure, performance based design

## 1 Introduction

When a structure is rattled by an earthquake, each structural member of the structure absorbs the energy through inelastic deformation. This deteriorates performance such as the structure's resisting force, stiffness, and strength and impairs the structure as a whole (Korea Concrete Institute 2015; Song 2017). The reinforced concrete shear wall, which is used as the main lateral force resistance element of reinforced concrete high-rise structures, is subjected to vertical loads such as dead load and live load and lateral load due to earthquakes. At this time, when both ends of the shear wall are not designed in appropriately confined bar arrangement details, plastic hinges do not see smooth distribution because of behavioral characteristics so that a highly brittle form of fracture mode is observed in which abrupt fracture occurs due to crushing only of the bottom part of the compression side. Such brittle fracture leads to eroded stability of

the whole structure, providing a major cause for collapse. To reduce such risks, many countries have design codes that include design specifications to secure a given level of ductility up to fracture point after the wall reaches its maximum strength; this is done by reinforcing the wall end in the plastic hinge (ACI Committee 318 2014; British Standards Institution 2004; Architectural Institute of Japan 2010; Architectural Institute of Korea 2016). For example, Special shear walls as defined in ACI318 (ACI Committee 318 2014) and KBC 2016 (Architectural Institute of Korea 2016) the ductile wall as defined in EN.1998.1;2004 (British Standards Institution 2004), and the RC wall with boundary columns of AIJ standard (Architectural Institute of Japan 2010) belong to this category. The commonality of these walls is that a given section of both ends of the walls is made to confine the main reinforcement by bar arrangement of laterally confined steel reinforcement as if columns were installed (see Table 1).

As demand for ultra-high-rise buildings has recently gone up, the number of buildings requiring application of the special shear wall system has also risen. Problems in construction, however, are being caused by the lateral reinforcement details of the special boundary element. Though

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**Table 1 Special provisions for shear walls with boundary elements.**

	ACI318-14, KBC 2016 (special structural wall)	AIJ2010 (RC wall with boundary columns)	EN1998-1:2004(E) (ductile wall)
$l_{cr}$	Max $\{l_w, M_u/4V_u\}$ or more	Max $\{2 \times$ smaller of $l_c$ and $b$ , $1.5 \times$ greater of $l_c$ and $b\}$ at the upper and lower ends of the wall	Max $\{l_w, h_w/6\}$ or more
Geometrical constraints	$l_c \geq$ greater of $c - 0.1l_w$ and $c/2$	-	$b_w \geq h_s/15$ for $l_c < 2b_{wr}$ $b_w \geq h_s/10$ for $l_c > 2b_{wr}$ $b_w \geq 200$ mm
s	Min $\{1/3b, 6d_{bl}, 100 + [(350 - h_x)/3]\}$ or less	100 mm or less	Min $\{b_0/2, 175, 8d_{bl}\}$ or less for DCM Min $\{b_0/3, 125, 6d_{bl}\}$ or less for DCH
Amount of transverse reinforcement	Greater of $0.09 \frac{f'_c}{f_{yt}}$ and $0.3 \left( \frac{A_g}{A_{ch}} - 1 \right) \frac{f'_c}{f_{yt}}$	0.002 or more	$\omega_{wd} \geq 0.08$ for DCM $\omega_{wd} \geq 0.12$ for DCH

$A_{ch}$ : cross-sectional area of a member measured to the outside edges of transverse reinforcement;  $A_g$ : gross area of concrete section;  $b$ : width of confined parts of a wall section;  $b_0$ : width of confined core in the boundary element of a wall;  $b_w$ : thickness of confined parts of a wall section;  $c$ : the largest neutral axis depth;  $d_{bl}$ : longitudinal bar diameter;  $f'_c$ : compressive strength of concrete;  $f_{yt}$ : yield strength of transverse reinforcement;  $h_s$ : clear story height;  $h_w$ : height of wall;  $h_x$ : maximum center-to-center spacing of longitudinal bars laterally supported by corners of cross-ties or hoop legs;  $l_c$ : length of confined parts of a wall section;  $l_w$ : length of cross-section of wall;  $\omega_{wd}$ : volumetric ratio of confining hoops within the boundary elements;  $M_u$ : factored moment at section;  $V_u$ : maximum factored shear stress;  $s$ : center-to-center spacing of transverse reinforcement;  $l_{cr}$ : length of critical region; DCM: medium ductility; DCH: high ductility.

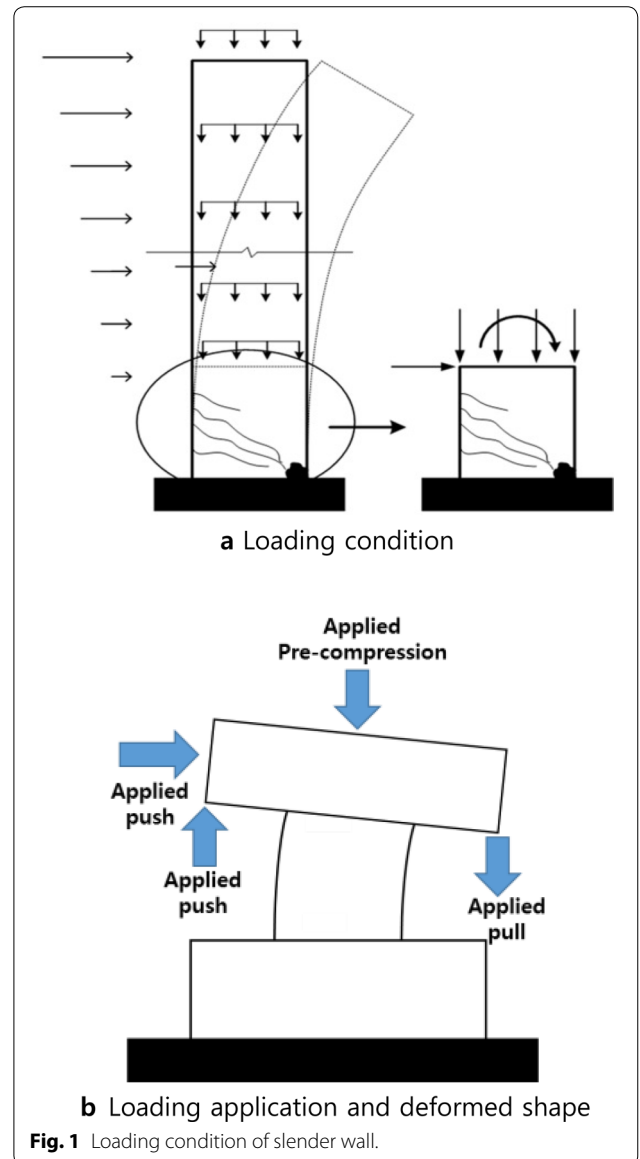
such details of columns using closed stirrup is applied to the same details of the special boundary element in the code, many problems occur in construction (Chun et al. 2013). This is because steel reinforcement is too dense at the end as lateral reinforcement bars are arranged for the wall together with horizontal steel reinforcement in a small cross section compared with columns.

A tendency also exists for excessive design in the standard for seismic design when the standard for high seismicity zones (ACI318-14) is applied like the seismic design standard of moderate to high seismicity zones. So the development of requirement details is needed for a special shear wall system considering moderate to high seismicity characteristics. Therefore, Architectural Institute of Korea (2011, 2013) analyzed problems resulting from hands-on application in relation to boundary element regulations of special shear walls and conducted a study to present guidelines for rational application of design code (Chun et al. 2011).

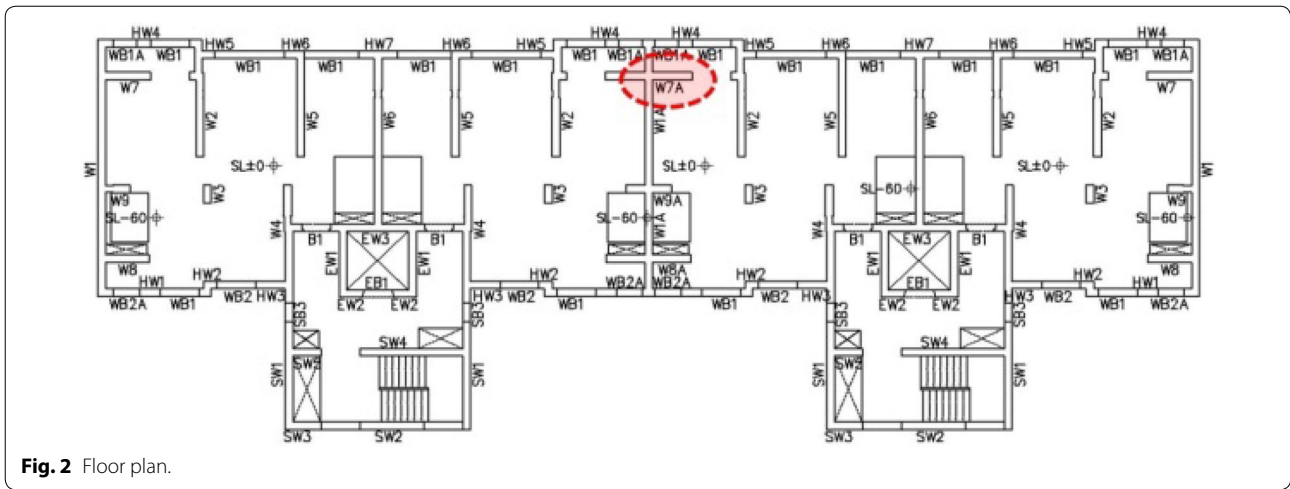
In the present study, based on previous research results, the seismic performance of the special shear walls with application of an overlapping hoop will be compared and reviewed to verify validity and present the data for rational seismic design of moderate to high seismicity zones.

### 2 Experimental Program

The present study conducted cyclic loading tests for seven shear walls of the flexural failure type, including a shear wall without special boundary element. As the performance of the overall system is determined by that of the wall's lower part in the case of a high-rise shear wall governed by flexure, the experimental specimens were produced for the wall only of the 1 bottommost floor as shown in Fig. 1 by considering the circumstances of a laboratory.



**Fig. 1** Loading condition of slender wall.



**Fig. 2** Floor plan.

**Table 2** Experimental variables.

	Boundary element		$\frac{P_u}{A_g f_{ck}}$
	Lateral confinement	s <sup>a</sup>	
RCW	–	–	0.10
C-SCW1	Closed hoop	D <sup>b</sup> /4	
C-SCW2		D/3	
U-SCW1	Overlapping hoop	D/4	
U-SCW2	(U-bar + crosstie)	D/3	
U-SCW3		D/2.5	
U-SCW4		D/3	0.15

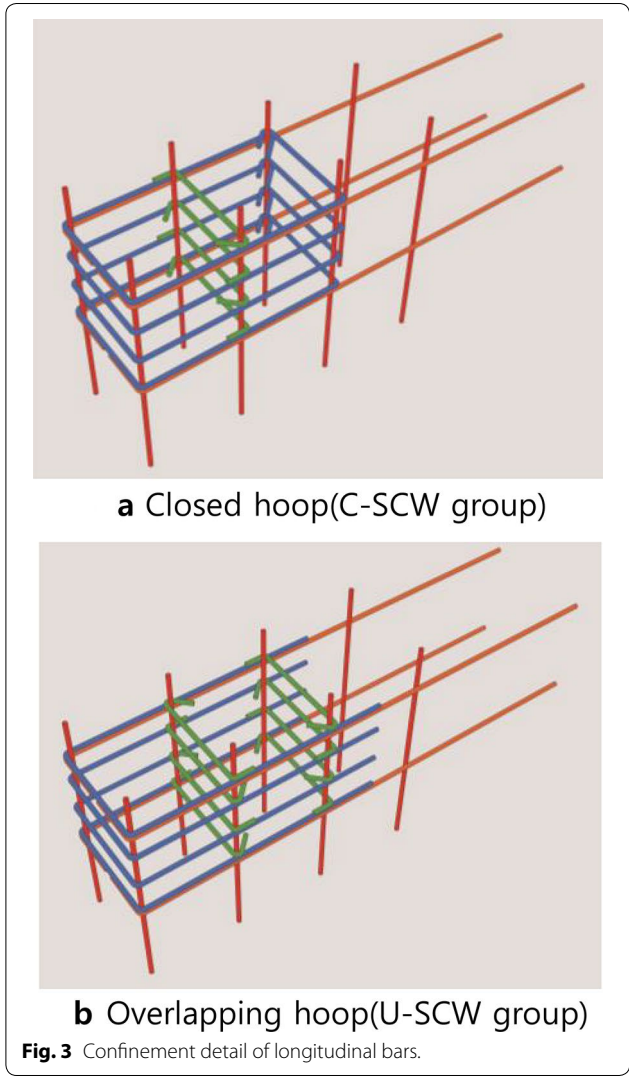
<sup>a</sup> s: center-to-center spacing of transverse reinforcement.  
<sup>b</sup> D: wall thickness.

At this time, the plan for the lateral load and overturning moment due to seismic and gravitational load applied to the wall to be incorporated through load ratio of the actuator and oil jack. This would lead to the actual load situation in the lower part of the shear wall being reproduced so that bending simulated the bending behavior aspect of the wall with a large governing aspect ratio.

**3 Specimen Design**

As a design object for a test specimen, the 22-story residential building had a floor height of 2.8 m. The total height of the building was 61.6 m and its site class S<sub>D</sub> (site class D), corresponding to the seismic design category D according to ACI 318-14 (ACI Committee 318 2014) and requiring installation of a special boundary element at the end of the shear wall.

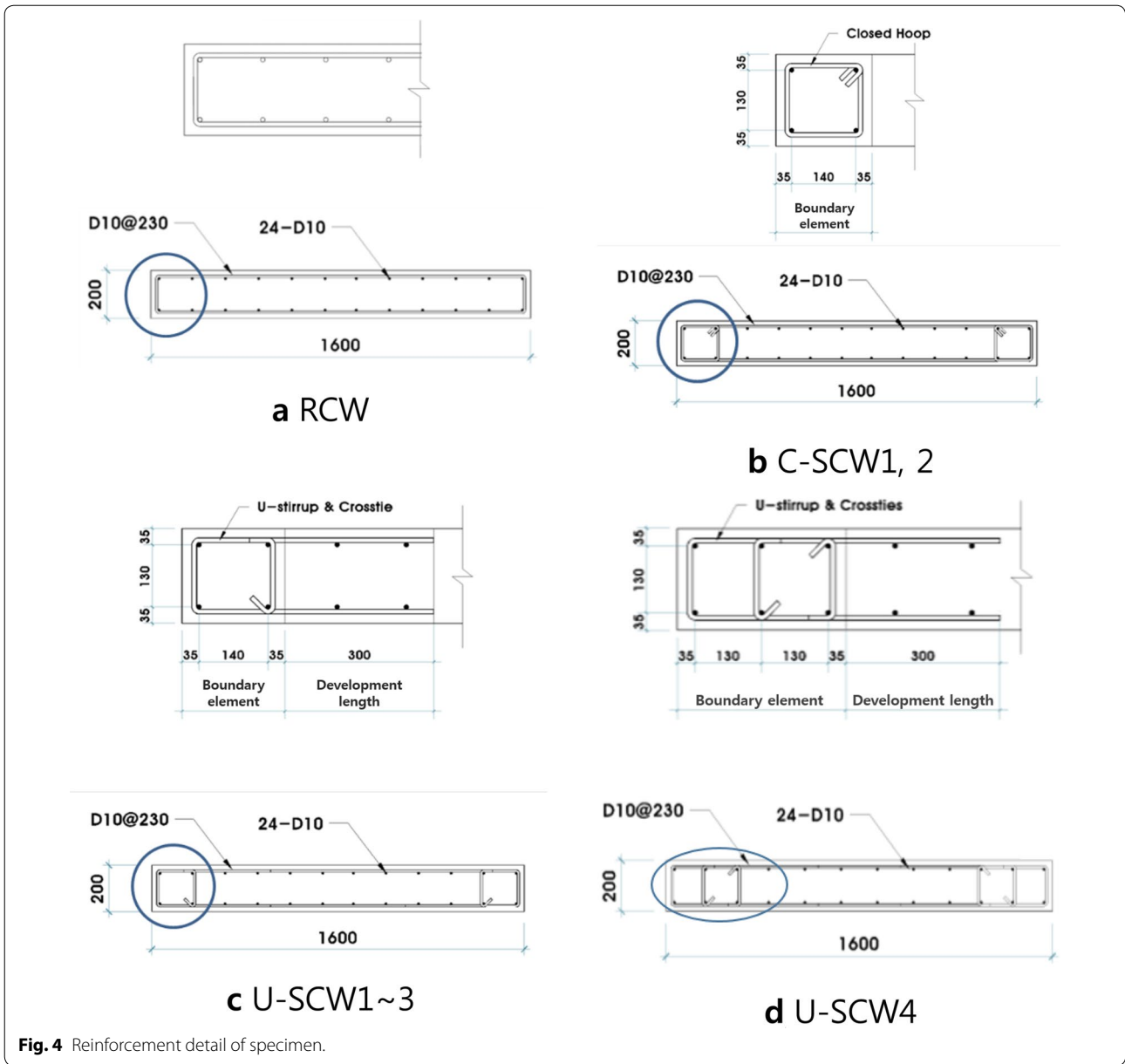
Figure 2 shows the planned view of the object structure and modeled experimental walls. The prototype wall for the production of the test specimen is a shear wall (W7A)



**a** Closed hoop(C-SCW group)

**b** Overlapping hoop(U-SCW group)

**Fig. 3** Confinement detail of longitudinal bars.

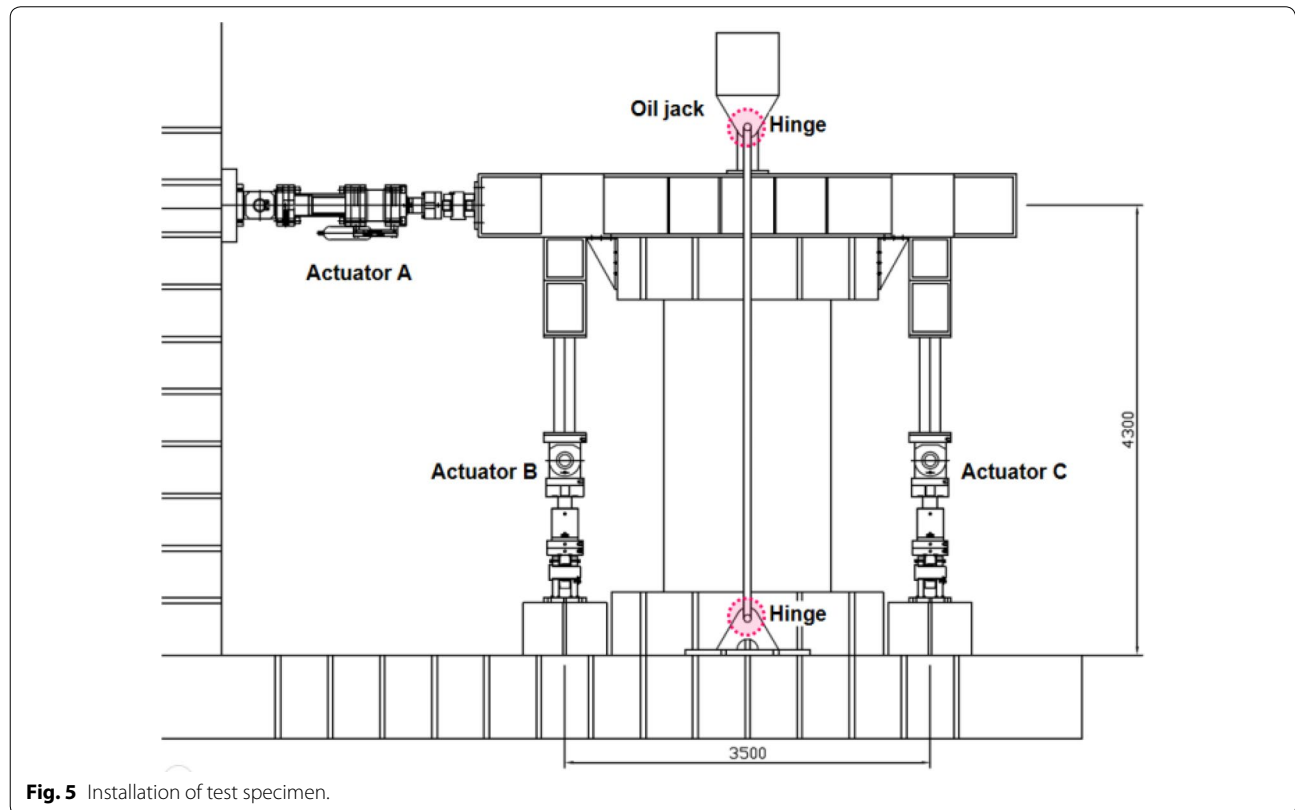


**Table 3** Specimen list.

	$f_{ck}$ (MPa)	$f_y$ (MPa)	$P_u$ (MPa)	B.E. length (mm)	$M_n$ (kN m)	Ver. rebar	Hor. rebar	End rebar
RCW	24	400	768	–	1012	D10@140	D10@230	–
C-SCW1				210				2-2-D10
C-SCW2								
U-SCW1								
U-SCW2								
U-SCW3								
U-SCW4			1152	271	1208			2-3-D10

**Table 4** Material properties of the specimens.

Concrete	Design compressive strength (MPa)	Cylinder strength (MPa)
RCW, C-SCW1 ~2 U-SCW1 ~4	24	27.05
Reinforcement	Yield strength (MPa)	Ultimate tensile strength (MPa)
HD10(SD400)	506	624



with a length of 1600 mm, thickness of 200 mm, and height of 61.6 m.

As shown in Table 2, structural experiments were conducted after seven full-size single-story walls were produced. The test specimens were classified into three forms—RCW, C-SCW, and U-SCW—depending on the wall end reinforcement details. RCW is a shear wall with no special boundary elements at the wall end, while test specimens of the C-SCW and U-SCW groups are special shear walls with special boundary elements installed at the wall ends. The latter were also differentiated by the confining methods of longitudinal reinforcement for special boundary elements. The test specimens of the C-SCW group, confined longitudinal reinforcements by using a closed hoop with seismic hooks as shown in in Fig. 3a. The test specimens of the

U-SCW group, meanwhile, confined longitudinal reinforcements by using an overlapping hoop composed of a combination of U-bar and cross-tie as shown in in Fig. 3b. ACI-318 (ACI Committee 318 2014) was followed in detailing of the boundary zones for the specimens and the length of the U-bar is extended to the outside of the boundary element by the development length for deformed in tension.

Figure 4 shows cross-section details for the test specimen. Though all test specimens were designed to show basically the same flexural strengths by bar management with the same vertical and horizontal steel reinforcements, different flexural strengths were expected to be displayed as shown in Table 3. This was done by varying the lengths of special boundary elements and bar arrangement design based on the magnitude of





**Fig. 6** Photo of set up after installation.

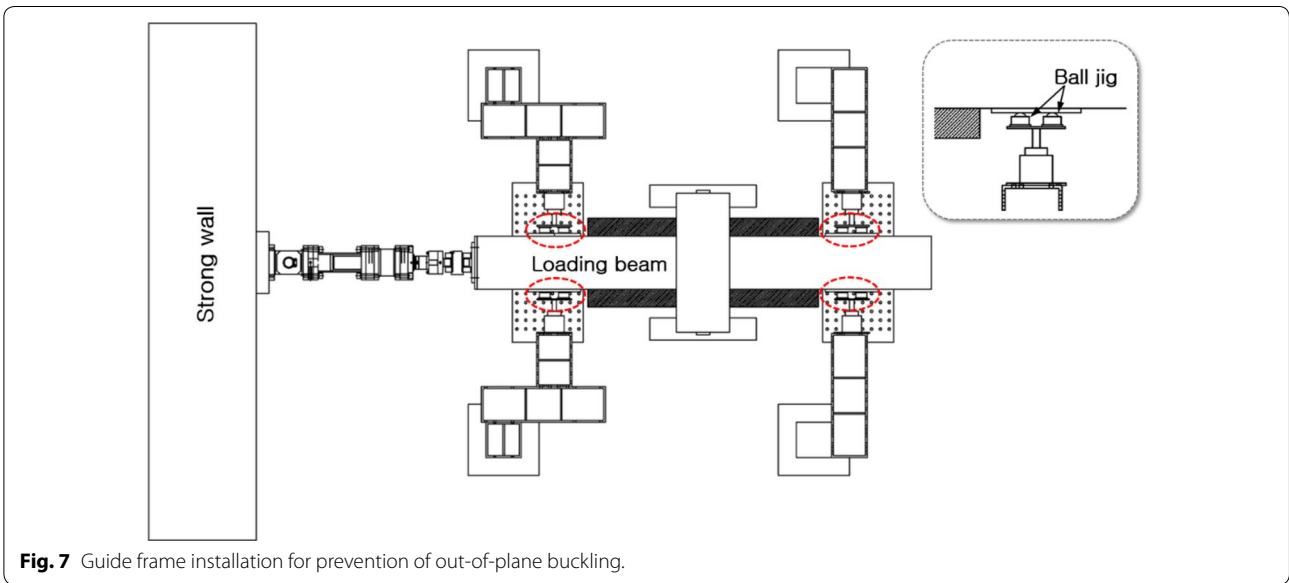
gravitational load applied to the cross section of a shear wall.

**4 Materials**

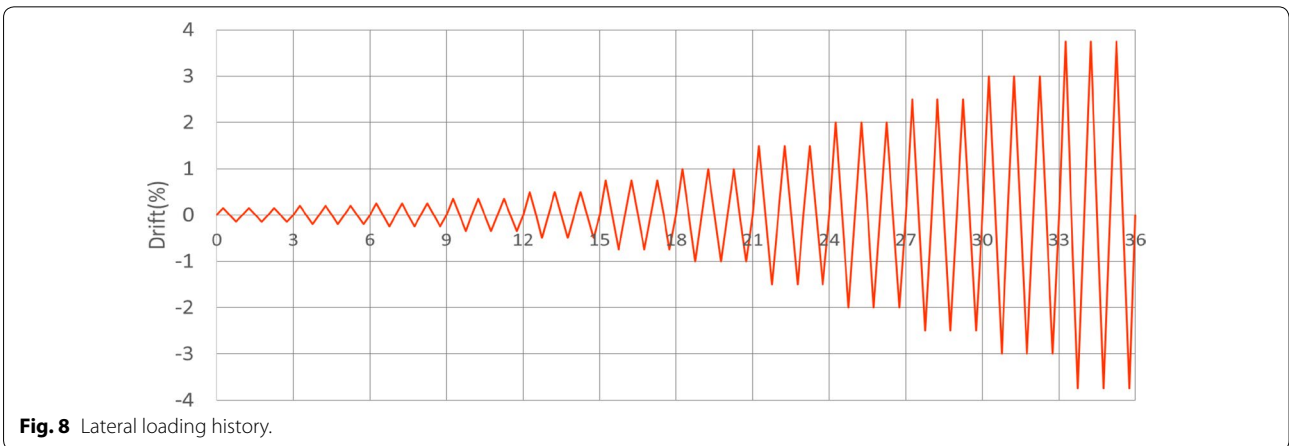
Design compressive strengths were 27 MPa; however, strengths at the time of testing ranged from 25.8 to 28.8 MPa. And typical bars of Grade 60 (420 MPa) and deformed No. 3 (9.5 mm) were used for longitudinal, horizontal, and vertical web reinforcement as well as boundary transverse reinforcement. Table 4 presents the material properties used in the specimens.

**5 Testing and Instrumentation**

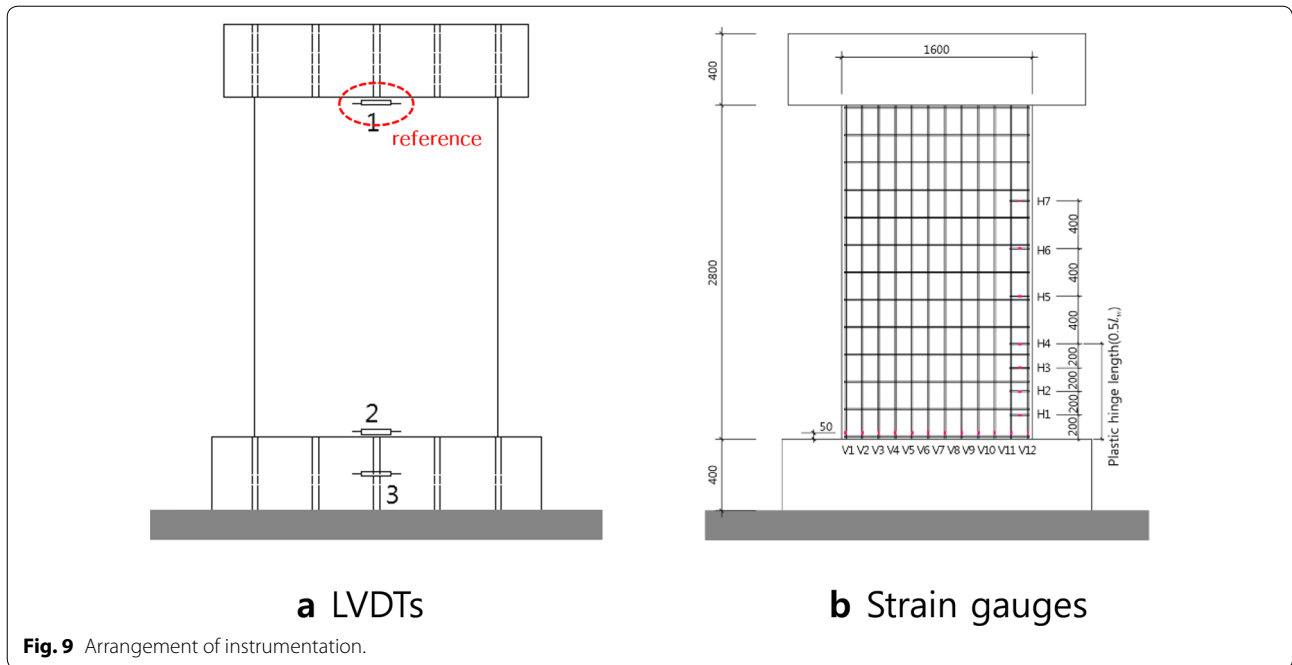
The installation situations of test specimens are shown in Figs. 5, 6, 7. The test specimen consisted of upper beam, wall, and foundational (pedestal) part, and the guide frame and the ball jig were installed on the upper beams



**Fig. 7** Guide frame installation for prevention of out-of-plane buckling.



**Fig. 8** Lateral loading history.



**Fig. 9** Arrangement of instrumentation.

to prevent out-of-plane buckling of walls as presented in Fig. 7.

To simulate gravitational load, shear force, and overturning moment acting on the real wall, oil jacks were installed on the upper part of the test specimen and actuators on the strong wall and strong floor. Lateral load was transmitted by actuator A while moment was exerted by the see-saw movement of actuators B and C. To reproduce the same load conditions as the actual load conditions, the load ratio applied to each of the actuators A, B, and C was maintained at 1:10.6:– 10.6, and hinges were installed at both ends of steel bars connecting oil jacks and the strong floor. The applied load was thus made to be oriented toward the center of the test specimen.

Figure 8 shows the repeated loads exerted on the test specimens by actuator A (ACI Innovation Task Group 1 and Collaborators 2001). With the difference in measurements by the LVDT installed at the top and bottom of the wall being set as the reference displacement, activating loads were exerted by the corresponding method of displacement control.

Figure 9 shows the instrumentation arrangement of LVDT and strain gauges in a specimen. Strain gauges were installed on the main and laterally confining bars around a place at half of the wall length from the shear wall bottom, where formation of plastic hinges was expected to occur. Three LVDTs were installed for slip measurement and displacement control for the test specimens.

## 6 Experiment Results

### 6.1 Cracking and Fracture Mode

Figures 10, 11 shows the pictures of the final fracture and cracking extent for the test specimens. In all cases, within the range of a drift ratio of 0.2%, a lateral crack starts to form and the initial lateral crack proceeds to fracture-shear crack as the lateral crack produced upon actuation in positive and negative directions crisscross as the displacement is. Within a section of 1.0% in the drift ratio, vertical cracking of the compressive ends subsequently begins to occur, developing into concrete crushing of compressive ends as the trend of strength increase is reduced.

Only concrete crushing on the compressive side occurred upon final fracture without fracture of the longitudinal reinforcements on the tensile side of the RCW experimental wall. But crushing of concrete at the compressive end and fracture of the longitudinal reinforcements at the end on the tensile side occurred simultaneously in the case of test specimens of the C-SCW and U-SCW groups with special boundary elements at the ends. At this time, no significant differences were observed in the fracture mode of shear wall test specimens as a function of two types of binding methods of confining the longitudinal reinforcements. In both cases, no fracture of transverse reinforcements was produced, and the binding of U-bar and cross tie was solidly maintained.



a RCW



b C-SCW1



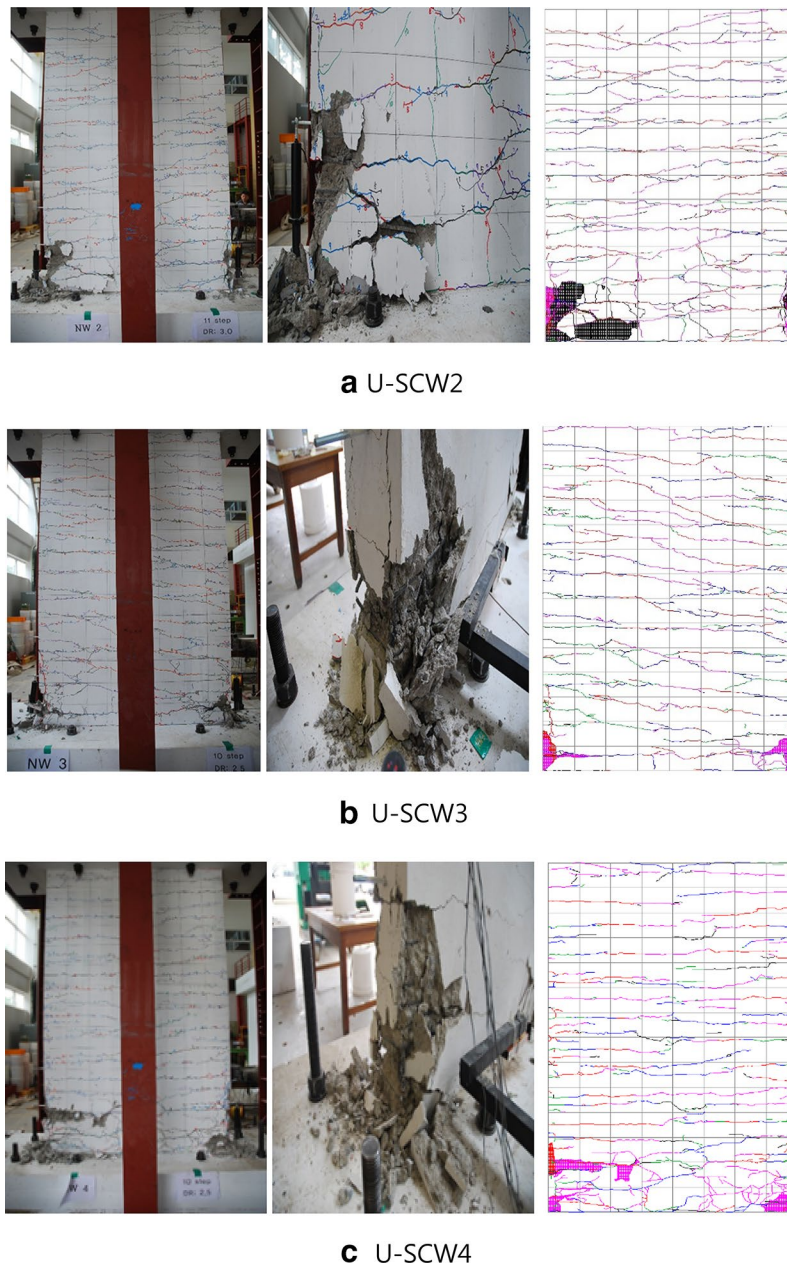
c C-SCW2



d U-SCW1

Fig. 10 Final cracks and damage distributions.





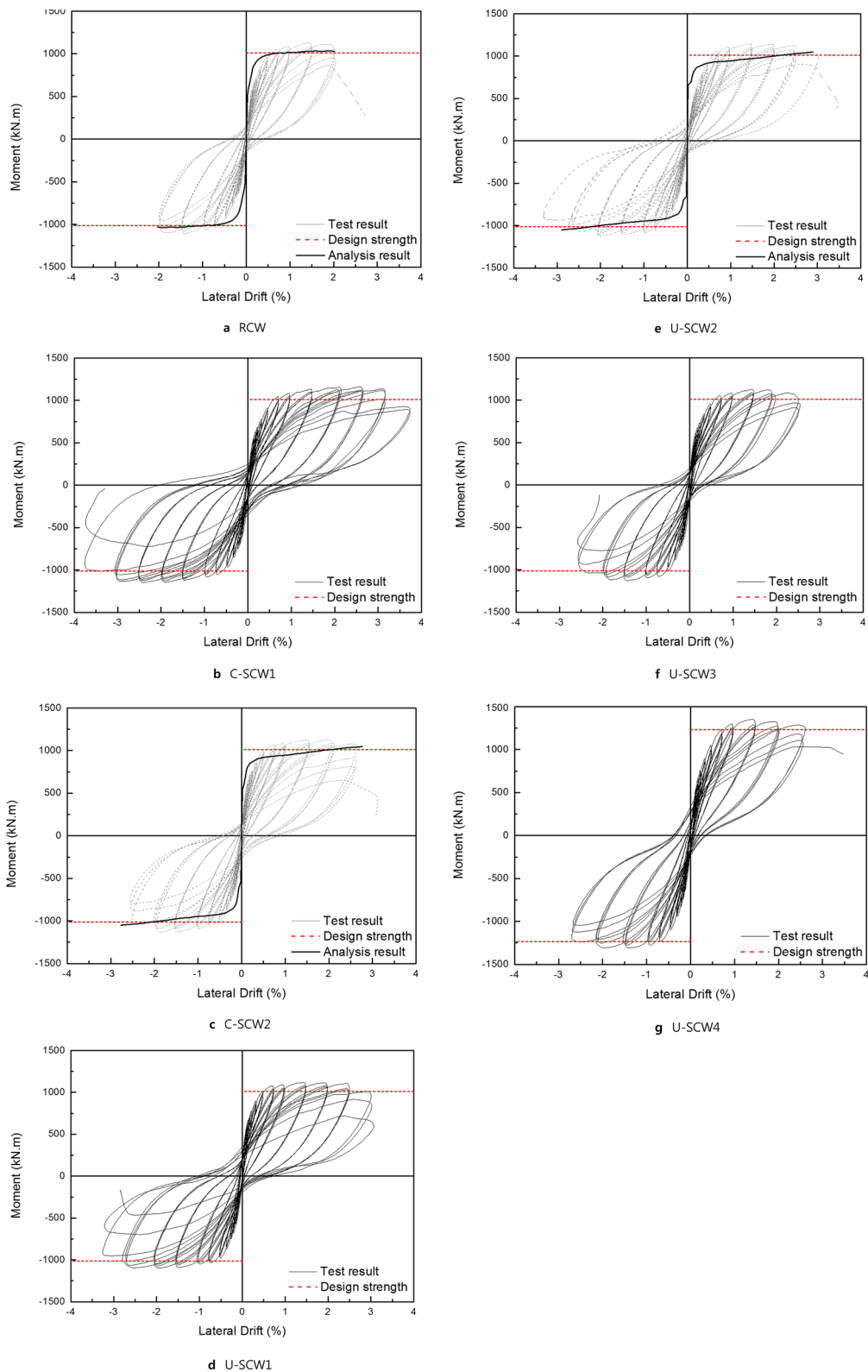
**Fig. 11** Final cracks and damage distributions.

### 6.2 Moment—Drift Curves and Moment Strength

Figure 12 and Table 5 show a summary of experimental results and section analysis for test specimens. In Table 5, the term  $P_u$  is the vertical load acting on the section of the shear wall; the term  $M_n$  and  $M_{n,test}$  are the nominal flexural strengths at section estimated using the design strength and the material test strength; the term  $M_{max}$  represents the actual, maximum strength of the fully-yielded system, and the term,  $D_{M_{max}}$  is the displacement

ratio at that time.  $D_{max}$  is the maximum drift ratio and  $\mu$  represents ductility capacity defined as the ratio of  $D_{max}$  and  $D_y$ . Wherein  $D_y$  is defined as the drift ratio at the point where the line connecting the origin and 60%  $M_{max}$  ( $0.6 M_{max}$ ) reaches  $M_{max}$  (ASCE 2006).

Perform3D (Computers and Structures, Inc. 2006) was used for the analysis of RCW, C-SCW2 and U-SCW2. We applied fiber cross sections to develop nonlinear model



**Fig. 12** Moment versus lateral drift loops for specimens.

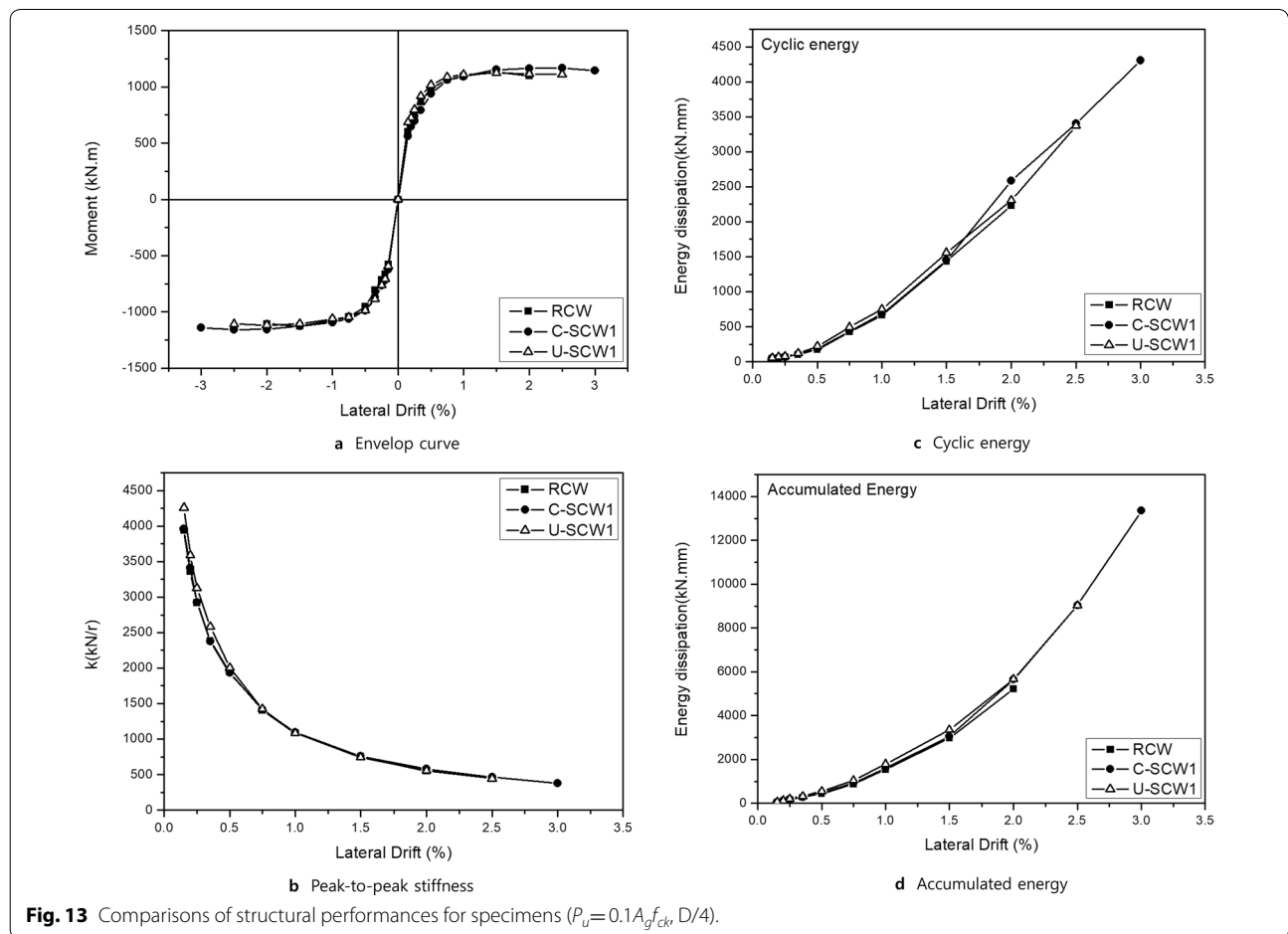
**Table 5 Test results.**

	$s$ (mm) <sup>c</sup>	$P_u$ (kN)	$\frac{P_u}{A_g f_{ck}}$	$M_n$ (kN m)	$M_{n,test}$ (kN m)	$M_{max}$ (kN m)	$D_{M_{max}}$ (%)	$D_{max}$ (%)	$\frac{M_{max}}{M_n}$	$\frac{M_{max}}{M_{n,test}}$	$\mu$
RCW	–	768	0.10	1012	1102.3	1135	1.43	2.0	1.12	1.03	6.9
C-SCW1	50					1169	2.58	3.0	1.16	1.06	9.4
C-SCW2 <sup>a</sup>	65					1139	2.00	2.5	1.13	1.03	8.6
U-SCW1	50					1125	2.47	2.5	1.11	1.02	8.6
U-SCW2 <sup>b</sup>	65					1148	2.07	2.5	1.13	1.04	8.6
U-SCW3	80					1132	1.89	2.0	1.12	1.03	8.0
U-SCW4	65	1152	0.15	1208	1349.6	1356	2.13	2.5	1.12	1.00	8.6

<sup>a</sup> Special shear walls as defined in ACI 318.

<sup>b</sup> Ref.

<sup>c</sup>  $s$ : center-to-center spacing of transverse reinforcement.



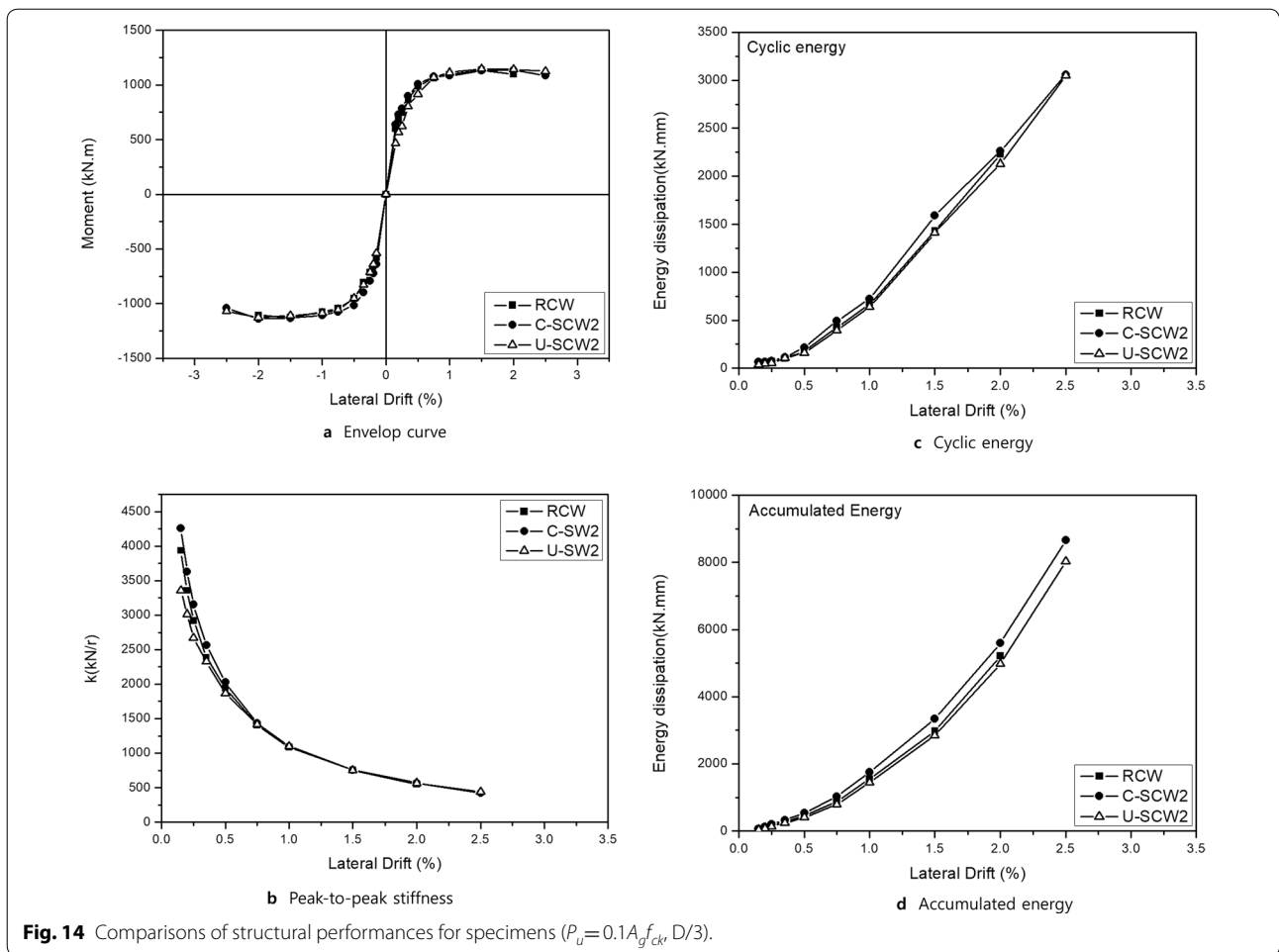
for shear walls and used the design strengths as shown in Table 3.

As the longitudinal spacing of the transverse reinforcement was narrowed, the manifestation point of the maximum strength tended to get delayed and deformation-resisting capacity was also excellent in relation to bar arrangement of special boundary elements. On the

other hand, there was no significant difference in the deformation capacity of the shear wall due to the difference between two confining methods.

### 6.3 Deformation Capacity and Energy Dissipation

Figures 13 and 14 show the results of the performance comparisons according to bar arrangement at the ends



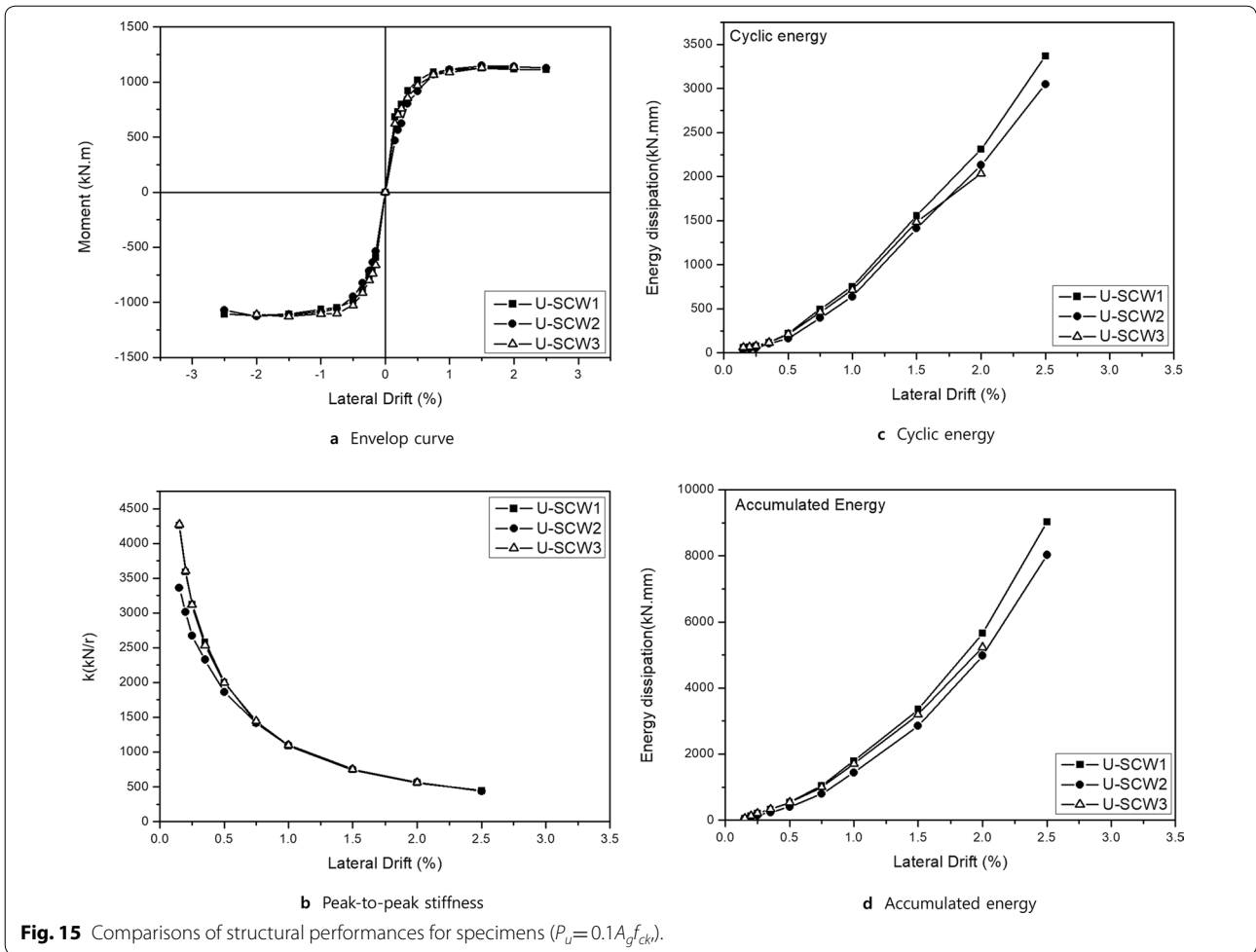
when the gravitational load corresponding to 10% of the resisting force in the axial direction of the cross section acts on the wall. After the maximum strength development in the 1.5% drift ratio, the RCW specimen with no boundary elements at the wall ends was destroyed with a strength drop of about 20% at a drift ratio of 2.0%. On the other hand, the trend of strength increase was continued in the specimens of the SCW group with the boundary elements even after a 1.5% drift ratio. When the longitudinal spacing of transverse reinforcements for boundary element was  $D/4$ , a difference was seen in energy dissipation as the final drift ratios were 3.0% for C-SCW1 and 2.5% for U-SCW1, but the manifestation point for maximum strength and the change mode of stiffness degradation were similar.

With C-SCW2 and U-SCW2 ( $D/3$ ), where transverse reinforcements are arranged at an interval of  $D/3$  for boundary elements according to the regulations of ACI318-14, the final drift ratio, manifestation point of the maximum strength, trend of stiffness degradation, and the amount of dissipated energy are similar so that

no difference in wall performance is seen due to the confining method of transverse reinforcements. As a result, it was found that the wall end confining method using the overlapping hoops is similar to that of using the closed hoops.

Figure 15 shows comparisons of shear wall performance per longitudinal arrangement of transverse reinforcements when longitudinal reinforcements are laterally confined for boundary elements by using an overlapping hoop. U-SCW1, 2 and 3 had their transverse reinforcements arranged at the interval of  $D/4$ ,  $D/3$ , and  $D/2.5$ , respectively, showing final drift ratios of 2.5, 2.5, and 2.0%, respectively. They showed very stable performance without drastic strength deterioration within the same drift ratio until the final drift ratio was reached.

Figure 16 presents performance comparisons of shear walls as a function of the magnitude of gravitational loads exerted on the cross section of the wall. As previously mentioned, U-SCW4 was basically designed to have identical vertical and horizontal steel



reinforcement as U-SCW2. By installing special boundary elements designed according to the magnitude of gravitational load applied at both ends of the walls, however, a strength boost of about 19% was expected (see Table 3).

According to the experimental results, as the magnitude of applied gravitational load increased 1.5 times, the stiffness up to a drift ratio of 0.75% rose about 17% and the maximum strength grew about 18%.

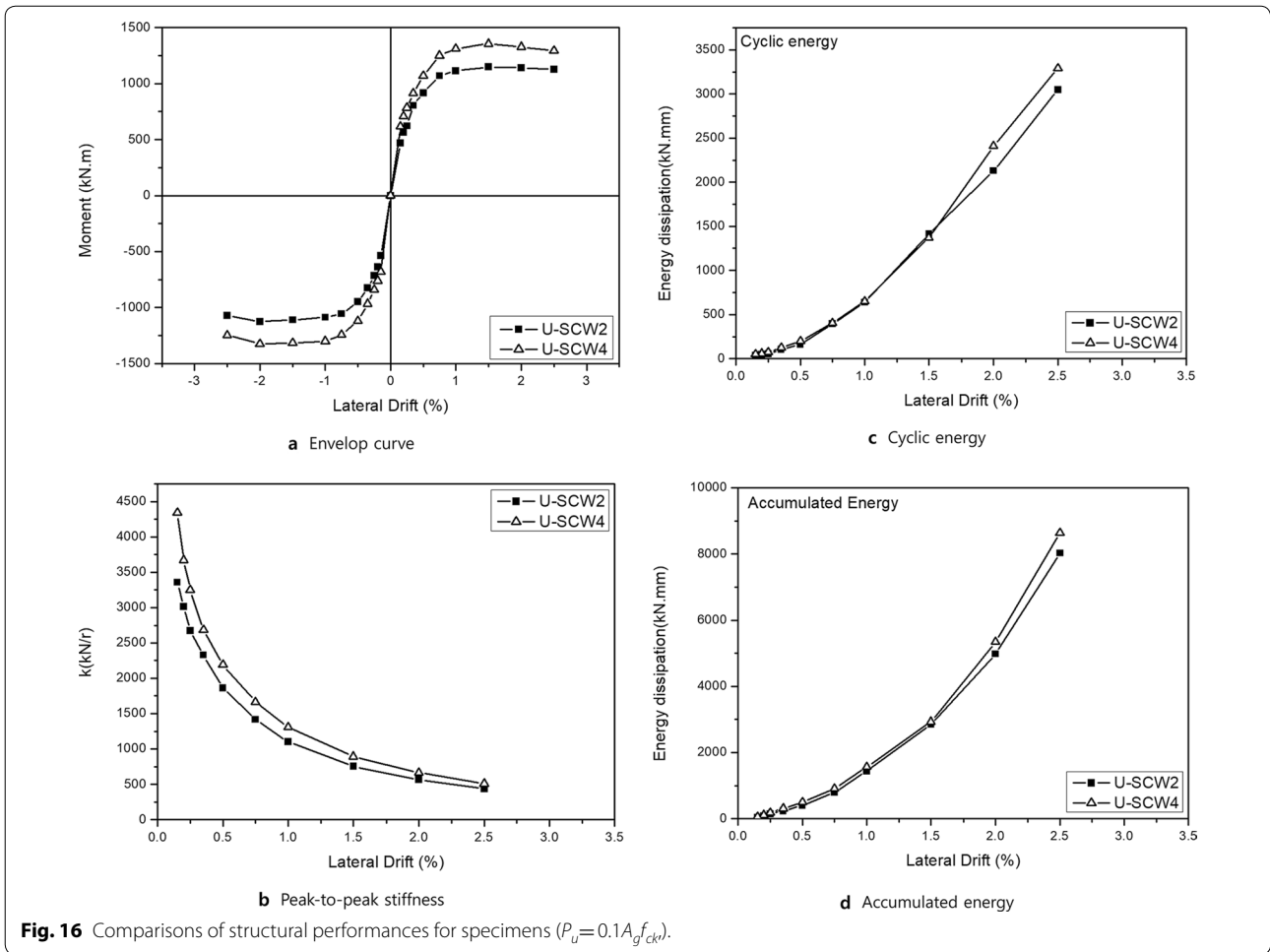
Considering that the maximum displacement ratio of U-SCW2 and U-SCW4 specimens is equal to 2.5% and the energy dissipation of the U-SCW4 specimen is superior after maximum strength development, it can be seen that the lateral confinement method using the overlapping hoop has a stable restraining effect even if the magnitude of the gravity load acting on the cross section increases by 15%. If the section of the special boundary element is designed based on the magnitude of the gravity load according to the provisions specified in the code, it can be expected that the use of the

overlapping hoop instead of the closed hoop can secure the required deformation capacity.

#### 6.4 Strain Distribution of Steel Reinforcements

Figures 17 and 18 show the results of strain distribution measurements for longitudinal reinforcements and transverse reinforcements with boundary elements of the wall. The strain of the longitudinal reinforcement is concentrated in the boundary element section, and the nonlinear deformation distribution is shown after the yielding of the longitudinal reinforcements at the boundary element. All experiments commonly showed the aspect where strain increased at the end in a concentrated manner after a drift ratio of 1%. In six test specimens excluding that of RCW without special boundary elements, the strain continued to rise even after the maximum bending moment strength was reached. The boundary elements at both ends of test specimens of C-SCW and U-SCW groups are installed across the wall height. According to the strain distribution graph for transverse reinforcements in Fig. 18, however, deformation was concentrated





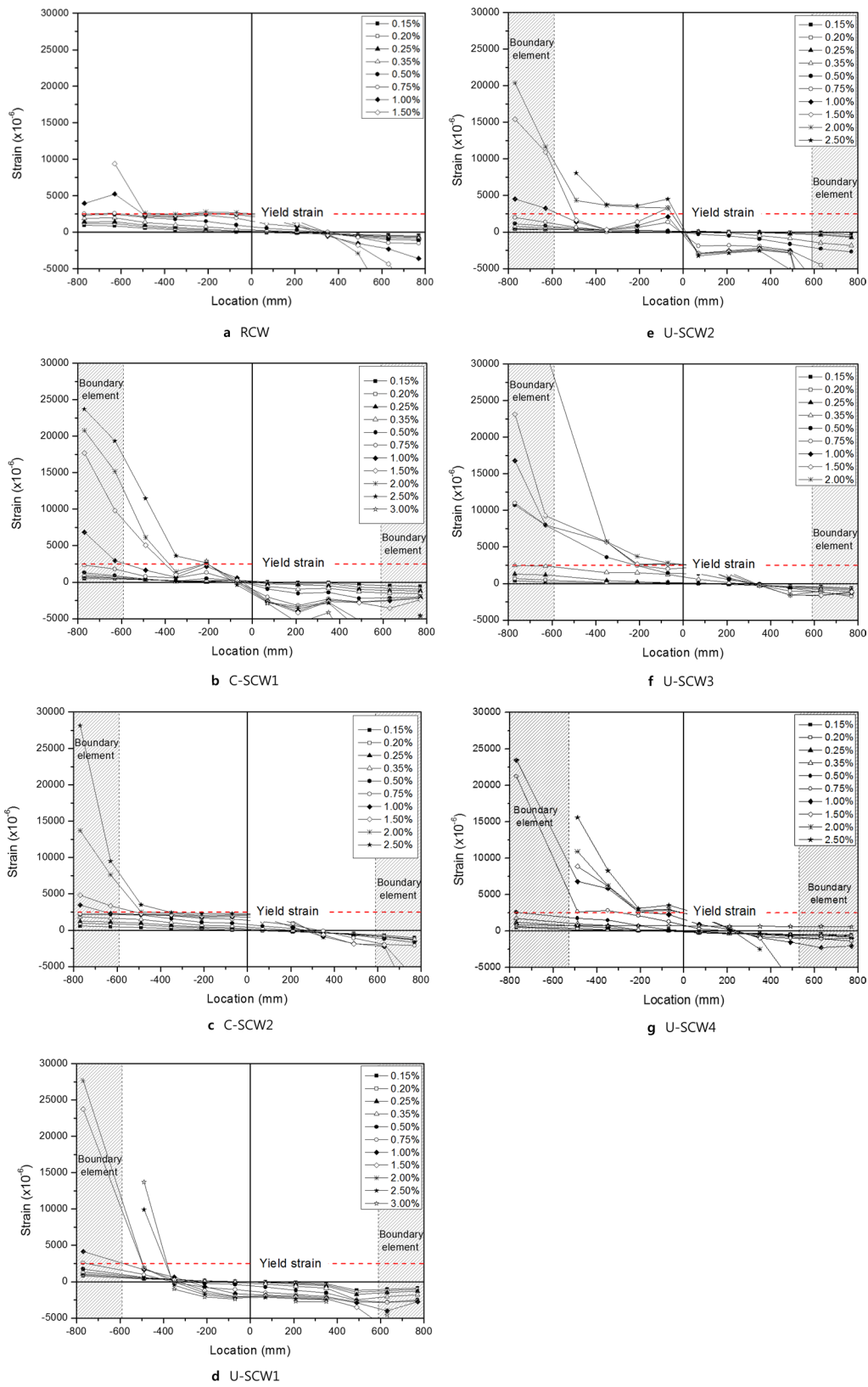
in the plastic hinge region from the bottom to 800 mm height, and all showed strain values within the elastic range.

### 7 Conclusions

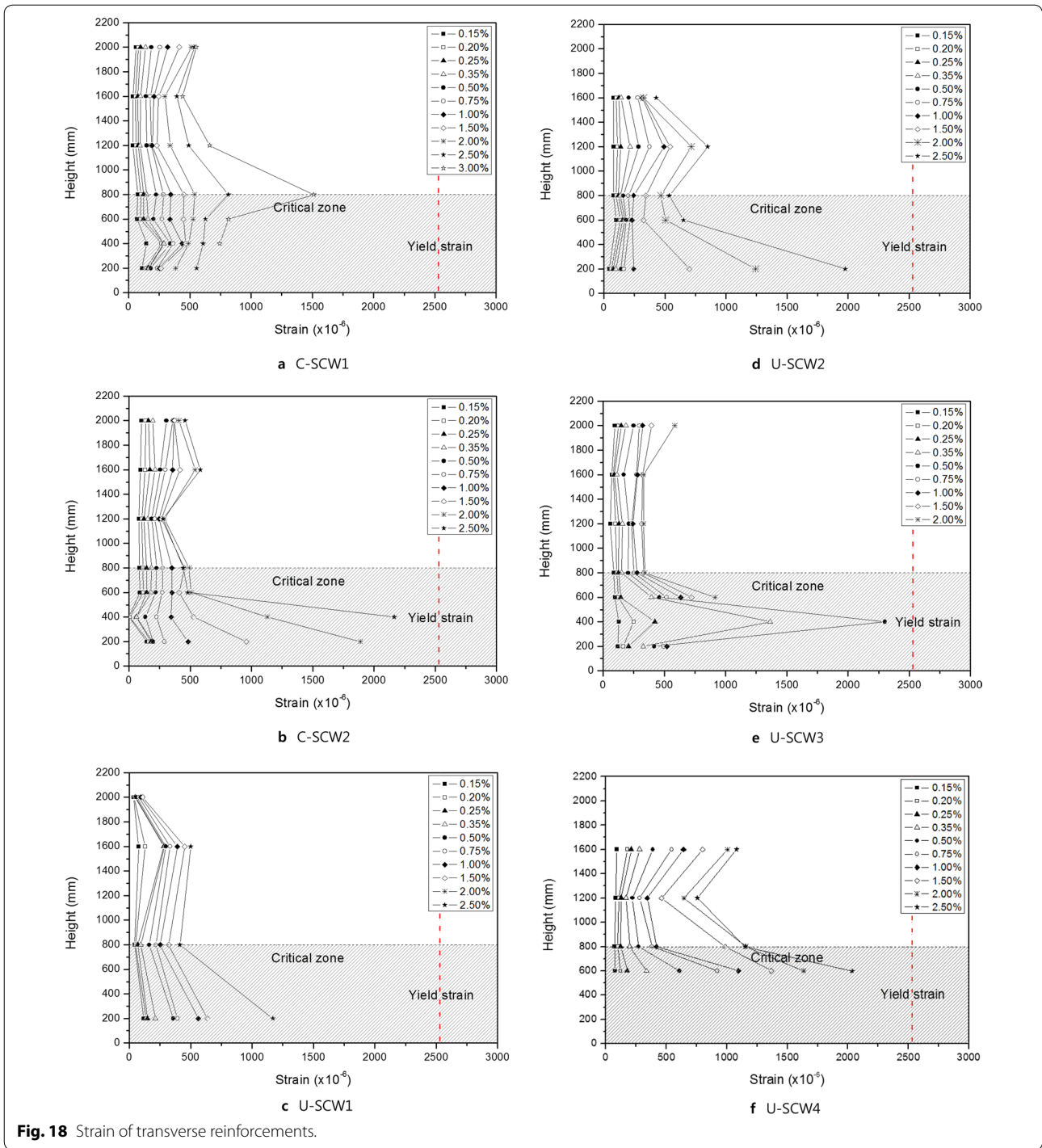
7 RC wall specimens were fabricated and tested under combined axial load, shear and moment. The experimental findings are summarized as follows:

1. An overlapping hoop using U bar and cross tie retained the core concrete binding force equivalent to a closed hoop. The wall with the overlapping hoop applied to the special boundary element showed stable strain performance even with changes in gravitational load exerted on the cross section. Therefore, it seems that the overlapping hoop can be an effective alternative for rational seismic design of moderate to high seismicity zones to a closed hoop that constrain the longitudinal reinforcements of the special boundary element.

2. According to the comparison results of behavioral characteristics for the test specimens where longitudinal spacing of the transverse reinforcement for longitudinal reinforcement of special boundary elements was  $D/4$ ,  $D/3$ , and  $D/2.5$ , as the longitudinal spacing of the transverse reinforcements is narrower, the deformation performance of the shear walls is higher because the core concrete confinement effect increases. It is confirmed that the longitudinal spacing of transverse restraining bars is inversely proportional to the deformation performance of the shear walls.
3. U-SCW3 is the case where the longitudinal spacing limit of transverse reinforcements specified in ACI318-14 is exceeded. Because the final drift ratio  $D_{max}$  of 2.0% sufficiently satisfies the condition for drift limit on the level of life safety (Applied Technology Council 1996) in this case as well, additional studies appear necessary on structural performance per level through the study of relationships between longitudinal spacing for trans-



**Fig. 17** Strain of longitudinal bars.



verse reinforcements at the wall end and wall behavior.

- Though linearity was observed for the strains of vertical steel reinforcements within the lateral drift ratio of 0.75%, nonlinear appearance was exhibited where tensile strains did not increase in sections other than

the boundary elements after yielding. The assumption of a displacement-based design method in which the strains of a wall cross section exhibit linear distribution, thus appears to require re-examination.

**Authors' contributions**

All authors read and approved the final manuscript.

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**Availability of data and materials**

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**Consent for publication**

Not applicable.

**Ethics approval and consent to participate**

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